

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:  
SERIAL NO.  
FILING DATE:  
INVENTION:

KAHN, J. ET AL  
Not yet assigned  
December 11, 2001  
"Speech Recognition Program Mapping Tool To Align An Audio File To Verbatim Text"

ATTORNEY DOCKET NO. 9761730-0016  
GROUP ART UNIT: Not yet assigned  
EXAMINER: Not yet assigned

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

HON. ASSISTANT COMMISSIONER OF PATENTS  
Washington, D.C. 20231

Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:

- ☒ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- ☐ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
- ☐ Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or
- ☐ The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- ☐ After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but **before** payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- ☐ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover any required fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

SONNENSCHNATH & ROSENTHAL

By [Signature], Reg. 42,179

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When phoning re this application,  
please call (312) 876-8000

CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner of Patents at the United States Patent and Trademark Office, via Express Mail bearing Label No. EL676986942US on December 11, 2001

Paula M. Theismann  
Paula M. Theismann



09/586,307

Docket No. 9761730-0016

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

APPLICANT(S): Kahn, J. et al DOCKET NO.: 9761730-0016  
SERIAL NO.: Unknown GROUP ART UNIT: Unknown  
DATE FILED: December 11, 2001 EXAMINER: Unknown  
INVENTION: "Speech Recognition Program Mapping Tool To Align An Audio  
File To Verbatim Text"



Hon. Assistant Commissioner for Patents

Washington, DC 20231

S I R:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. §1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

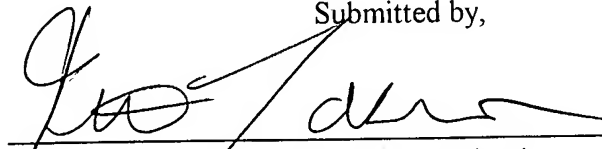
I. SUBMITTED US PATENT REFERENCES

<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
5,799,273	US	August 25, 1998
5,960,447	US	September 28, 1999

II. EXPLANATION OF RELEVANCE

It is respectfully requested that the above-listed patents and publications be given consideration by the Examiner and made of record in the subject patent application.

Submitted by,

 (Reg. 42,179)

Vincent P. Tassinari

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Form PTO-1449  
(Rev. 8-88)U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No. 9761730-0016

Serial No. Unknown

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

Applicant KAHN, J. ET AL

Filing Date

December 11, 2001

Group Art Unit: Unknown

**U.S. PATENT DOCUMENTS**

Examiner Initial*	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	5,799,273	08/25/98	Mitchell, J. e. et al			
	5,960,447	09/28/99	Holt, D. et al			

**FOREIGN PATENT DOCUMENTS**

	Document Number	Class	Subclass	Translation	
				Yes	No

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


Examiner

Date Considered

\*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

JC832 U.S. PTO  
10/014677  
12/11/01